

REGULATIONS GOVERNING FACULTY MEMBER ETHICS COMMITTEE OF SEOUL NATIONAL UNIVERSITY

Regulations No. 1084, Feb. 3, 1998

Article 1 (Purpose)

The purpose of these Regulations is to provide for matters concerning establishing and operating the Faculty Member Ethics Committee (hereinafter referred to as the "Committee") of Seoul National University.

Article 2 (Functions)

The Committee shall deliberate on all matters concerning the ethics of full-time faculty members of Seoul National University, and determine matters concerning requests to take corrective action, reports, recommendations and other measures depending on the outcomes of deliberation.

Article 3 (Matters to Be Deliberated)

The Committee shall deliberate on the following:

1. Matters concerning enacting, amending and interpreting the code of ethics for faculty members;
2. Matters concerning methods of increasing ethics and dignity in full-time faculty members;
3. Matters concerning a violation of the code of ethics for faculty members by a full-time professor or his/her duty as faculty member, and degradation of his/her dignity;
4. Other matters submitted by the Chairperson of the Committee for deliberation.

Article 4 (Formation)

- (1) The Committee shall be comprised of no more than 11 members, and the President shall appoint members from among faculty members of Seoul National University.
- (2) Of the members of the Committee, the President shall appoint three members as recommendation by the Faculty Council and three members as recommended by the University Senate, respectively.
- (3) The Chairperson and the Vice Chairperson shall be elected by the Committee from among its members.

Article 5 (Duties of Chairperson, etc.)

- (1) The Chairperson shall preside over meetings of the Committee and represent the Committee.
- (2) The Vice Chairperson shall assist the Chairperson, and perform duties of the Chairperson on his/her behalf where the Chairperson is unable to perform his/her duties due to

extenuating circumstances.

Article 6 (Meetings)

- (1) The Chairperson shall convene a meeting where he/she deems necessary; or where the President or at least two members of the Committee, request(s) the Chairperson to convene a meeting.
- (2) The Committee shall hold meetings with at least two-thirds of incumbent members in attendance, and pass resolutions with the concurrent votes of at least two-thirds of the members present.
- (3) In principle, meetings shall be kept confidential, however, where necessary, the Chairperson may require persons who are not members to attend meetings or meetings may be made open to the public.

Article 7 (Request to Deliberate)

- (1) The President, the Chairperson, at least two members of the Committee, or at least five full-time faculty members may request the Committee to deliberate on matters provided for in subparagraphs 1 through 3 of Article 3.
- (2) The Chairperson shall submit matters requested to be deliberated on pursuant to paragraph (1) for deliberation by the Committee.

Article 8 (Procedures, etc. for Investigation)

- (1) In order to investigate matters related to any matters to be deliberated on by the Committee, it may require a full-time faculty member who becomes subject to deliberation (hereinafter referred to as "person subject to deliberation") pursuant to the provisions of subparagraph 3 of Article 3 and relevant persons (a person who requests deliberation, a witness, an expert witness, a lay witness, etc.) to submit data, attend its meetings and make remarks.
- (2) The Committee may follow procedures for listening to and gathering opinions, such as hearings, in relation to matters to be deliberated on.
- (3) A person subject to deliberation may participate in procedures, such as investigations of relevant persons provided for in paragraph (1) and hearings provided for in paragraph (2), and make remarks favorable thereto or submit data, and the Committee shall provide him/her with such opportunity.

Article 9 (Measures Depending on Outcomes of Deliberation)

- (1) The Committee may make a recommendation, request to take corrective action, issue a warning, or offer arbitration, depending on the outcome of deliberation.
- (2) The Committee may recommend the President to take subsequent measures depending on the outcome of deliberation.

Article 10 (Further Deliberations)

- (1) Where a person subject to deliberation or a person who requests deliberation has an objection to a determination made by the Committee, he/she may request the Committee to engage in further deliberations within 15 days from the date on which he/she receives notification of the determination made by the Committee.

(2) The provisions of Articles 7 and 9 shall apply mutatis mutandis to cases falling under paragraph (1).

Article 11 (Duty of Confidentiality)

(1) All matters related to deliberation on, investigation of and measures against, a person subject to deliberation shall be kept confidential: Provided, that where the person subject to deliberation expressly requests, the Committee may disclose the outcome of deliberation.

(2) The Chairperson, any member of the Committee, a person who requests deliberation, a person subject to deliberation, a witness, an expert witness, a lay witness, the President or any relevant faculty member or administrative staff member, shall not divulge confidential information under the provisions of paragraph (1) he/she has become aware of in the process of deliberation with the Committee or in performing his/her duties. The foregoing shall also apply even after he/she resigns from his/her position: Provided, that where a government agency or the President makes a reasonable request, the Chairperson may provide the government agency or the President with relevant data, and where he/she provides the government agency with relevant data, he/she shall obtain prior permission from the President.

Article 12 (Exclusion)

(1) Where any member (including the Chairperson) has a direct interest in any matter to be deliberated on by the Committee or it is difficult to expect him/her to fairly deliberate on any matters to be deliberated on, he/she shall abstain from deliberations with the Committee.

(2) Where a member must abstain from deliberations with the Committee pursuant to the provisions of paragraph (1), the President may appoint temporary members within the number for deliberation on the relevant agenda item.

Article 13 (Expert Members)

(1) The Committee may have expert members depending on the agenda.

(2) The President shall appoint expert members as recommended by the Chairperson, from among experts inside or outside Seoul National University concerning items on the agenda to be deliberated on.

(3) An expert member shall provide the Chairperson with advice and suggestions on professional matters related to agenda items to be deliberated on, and attend meetings and express his/her opinion upon the request of the Committee.

Article 14 (Administrative Secretary)

The Committee shall have an administrative secretary, and the manager for academic affairs of the Office of Academic Affairs shall serve as administrative secretary.

Article 15 (Expenses)

The President may reimburse expenses incurred in operating the Committee within the budgetary limits.

ADDENDUM <Regulations No. 01084, Feb. 3, 1998>

These Regulations shall enter into force on the date of their promulgation.

※ 본 영문 규정은 서울대학교에서 사용자의 편의를 위하여 참고용으로 제공하는 것이며, 국문 규정과 영문 규정 간에 의미상의 차이가 있는 경우에는 국문 규정이 효력을 갖습니다. (This Regulation has been translated into English for the convenience of users. If there arises any conflict between the Korean text and the English text, the Korean text shall prevail as authentic.)